

# The Metamath Proof Language

Norman Megill May 9, 2014

Metamath development server

### **Overview of Metamath**

- Very simple language: substitution is the only basic rule
- Very small verifier ( $\approx$ 300 lines code)
- Fast proof verification (6 sec for  $\approx$ 18000 proofs)
- All axioms (including logic) are specified by user
- Formal proofs are complete and transparent, with no hidden implicit steps

### Goals

Simplest possible framework that can express and verify (essentially) all of mathematics with absolute rigor

Permanent archive of hand-crafted formal proofs

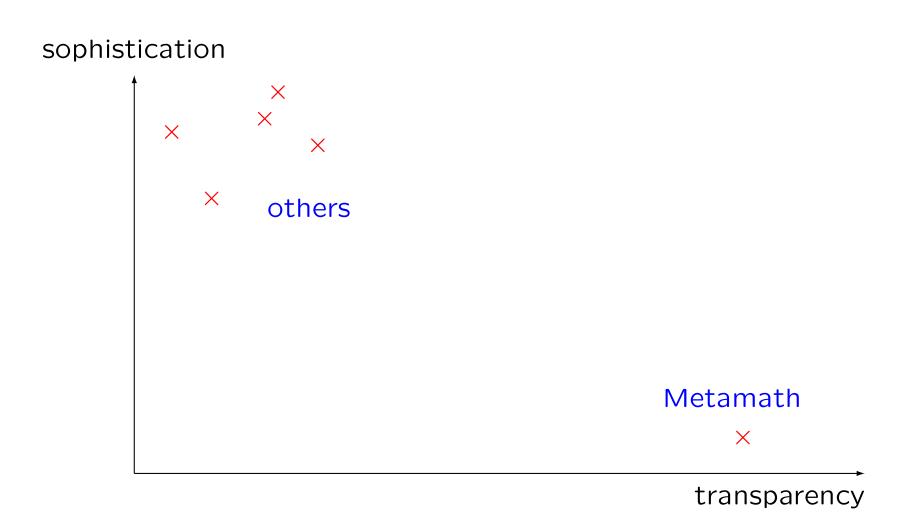
Elimination of uncertainty of proof correctness

Exposure of missing steps in informal proofs to any level of detail desired

#### Non-goals (at this time)

Automated theorem proving

Practical proof-finding assistant for working mathematicians



## (Ficticious conceptual chart)

#### Contributors

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David Harvey Jeremy Henty Jeff Hoffman Szymon Jaroszewicz Wolf Lammen Gérard Lang Raph Levien Frédéric Liné Roy F. Longton Jeff Madsen Rodolfo Medina Mel L. O'Cat Jason Orendorff Josh Purinton Steve Rodriguez Andrew Salmon Alan Sare Eric Schmidt David A. Wheeler Examples of axiom systems expressible with Metamath (Blue means used by the set.mm database)

- Intuitionistic, classical, paraconsistent, relevance, quantum propositional logics
- Free or **standard** first-order logic with equality; modal and provability logics
- NBG, **ZF**, NF set theory, with **AC**, GCH, **inaccessible** and other large cardinal axioms

Axiom schemes are **exact** logical equivalents to textbook counterparts. All theorems can be instantly traced back to what axioms they use. 24 of Freek Wiedijk's "Formalizing 100 Theorems" (from ZFC)

 $\sqrt{2}$  irrationality Denumerability of rationals Pythagorean theorem Euler's gen of Fermat's Little Thm Infinitude of primes De Moivre's theorem Uncountability of reals Schroeder-Bernstein thm Binomial theorem Number of subsets of a set Bezout's theorem Sum of recipr. of triang. numbers

Cantor's theorem
Sum of a geometric series
Sum of an arithmetic series
GCD algorithm
Mathematical induction
Cauchy-Schwarz inequality
Intermediate value theorem
Fundamental thm of arithmetic
Desargues's theorem
Triangle inequality
Bertrand's Postulate
Formula for Pythagorean triples

## What has been accomplished? (2 of 2)

Other examples (all proved directly from ZFC axioms)

Hartogs' theorem (without using Axiom of Choice) Konig's theorem (set theory) Dedekind-cut construction of reals Pocklington's theorem (primality test) Euler's identity  $e^{i\pi} = -1$  (and other complex trig and logs) Cayley's theorem Bolzano-Weierstrass theorem Heine-Borel theorem Banach fixed point theorem Baire's category theorem Uniform boundedness principle (Banach-Steinhaus theorem) Riesz representation theorem

#### Theorem bpos 13828

**Description:** Bertrand's postulate: there is a prime between N and 2N for every positive integer N. This proof follows Erdős's method, for the most part, but with some refinements due to Shigenori Tochiori to save us some calculations of large primes. See <u>http://en.wikipedia.org/wiki/Proof\_of\_Bertrand's\_postulate</u> for an overview of the proof strategy. (Contributed by Mario Carneiro, 14-Mar-2014.)

Assertion			
Ref	Expression		
bpos	$\vdash (N \in \mathbb{N} \to \exists p \in \mathbb{P} (N$		
	Distinct variable group: N,p		

Step	Нур	Ref	Expression
1		<u>nnre</u> 7758	$A_{n,3} \vdash (N \in \mathbb{N} \to N \in \mathbb{R})$
2		<u>2re</u> 7809	$\dots 4 \vdash 2 \in \mathbb{R}$
3		<u>6nn</u> 7861	$\dots$ 5 $\vdash$ 6 $\in$ $\mathbb{N}$
4	<u>3</u>	<u>nnnn0i</u> 7996	$\dots 4 \vdash 6 \in \mathbb{N}_0$
5		reexpcl 8716	$\dots 4 \vdash ((2 \in \mathbb{R} \land 6 \in \mathbb{N}_0) \to (2 \uparrow 6) \in \mathbb{R})$
6	<u>2, 4, 5</u>	mp2 Closure of ex	xponentiation of reals.
7		<u>lelttric</u> 7278	$\mathbb{I}_{\mathbb{I}^{3}} \vdash ((N \in \mathbb{R} \land (2\uparrow 6) \in \mathbb{R}) \to (N \le (2\uparrow 6) \lor (2\uparrow 6) < N))$
8	<u>1, 6, 7</u>	<u>sylancl</u> 720	$A_{2} \vdash (N \in \mathbb{N} \to (N \le (2\uparrow 6) \lor (2\uparrow 6) < N))$
9		<u>bpos1</u> 13819	$\square : \exists \vdash ((N \in \mathbb{N} \land N \le (2 \uparrow 6)) \to \exists p \in \mathbb{P} (N$
10		<u>eqid</u> 2075	$ \sum_{n \neq 0} \left[ \left( n \in \mathbb{N} \mapsto \left( \left( \left( \sqrt{2} \cdot (x \in \mathbb{R}^+ \mapsto \left( \left( \log^2 x \right) / x \right) \right)^2 (\sqrt{n} \right) \right) + \left( \left( 9 / 4 \right) \cdot \left( \left( x \in \mathbb{R}^+ \mapsto \left( \left( \log^2 x \right) / x \right) \right)^2 (\sqrt{n} \right) \right) \right) \right) \right) \right] \\ = \left( n \in \mathbb{N} \mapsto \left( \left( \left( \sqrt{2} \cdot (x \in \mathbb{R}^+ \mapsto \left( \left( \log^2 x \right) / x \right) \right)^2 (\sqrt{n} \right) \right) \right) + \left( \left( 9 / 4 \right) \right) \right) \\ = \left( \left( x \in \mathbb{R}^+ \mapsto \left( \left( \log^2 x \right) / x \right) \right)^2 (\sqrt{n} / 2) \right) \right) + \left( \left( \log^2 2 \right) / \left( \sqrt{2} \cdot n \right) \right) \right) $
11		eqid 2075	$ = (x \in \mathbb{R}^+ \mapsto ((\log^* x) / x)) = (x \in \mathbb{R}^+ \mapsto ((\log^* x) / x)) $

#### Proof of Theorem bpos

## Ghilbert

- Ghilbert and Metamath are sister languages. It's easy to convert between them.
- Modularization: Proofs are organized into files which are imported and exported into other files.
- Online Editor. Proofs can be edited online and have LaTeX typesetting.

Go to: ghilbert-app.appspot.com/wiki/tutorial/overview

$$\begin{array}{|c|c|c|} \hline & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

Sum of an Arithmetic Series edit

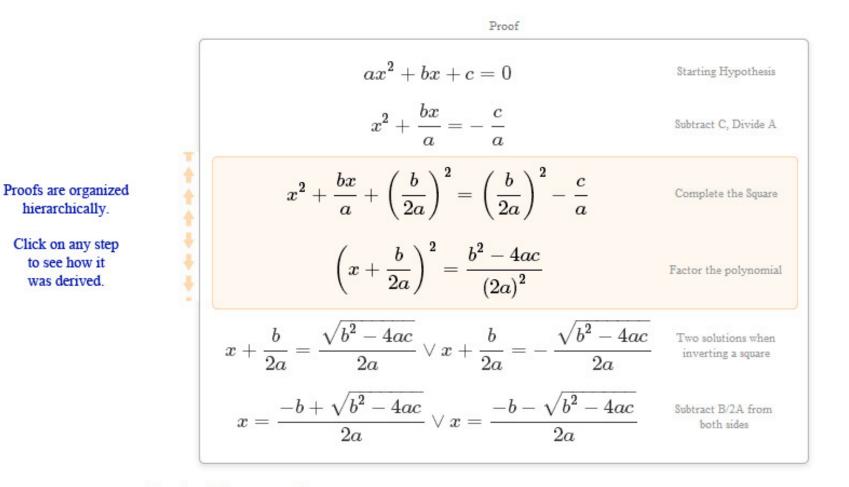
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## The Quadratic Equation

Proofs can be edited directly on the website

edit

The quadratic equation gives two possible solutions to a second-order polynomial equation. This proof begins with the assumption that solutions to the equation exists and that the constant a is not 0. If the value of a were 0, the equation would be linear not quadratic.



Notation Help
 Context

This and other interesting proofs are available at: ghilbert-app.appspot.com/wiki/tutorial/sampler

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## The Metamath language

#### Metamath language syntax

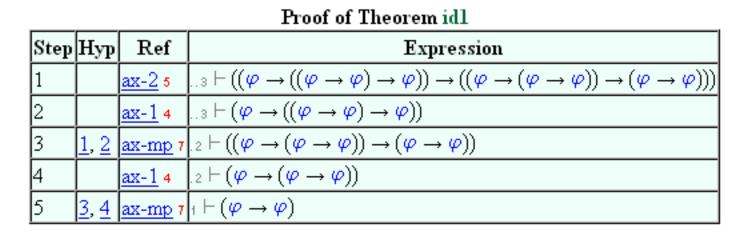
Syntax elements: symbols (math symbols), labels (statement identifiers), and 11 language keywords: \$c \$v \$f \$e \$d \$a \$p \$. \$= \${ \$}

Constant declaration: \$c symbols \$. Variable declaration: \$v symbols \$. label \$f symbols \$. Variable-type assignment: Logical hypothesis: label \$e symbols \$. Distinct variable proviso: \$d symbols \$. label \$a symbols \$. Axiom scheme: Theorem scheme and its proof: label \$p symbols \$= labels \$. \${ ... \$} Delimit scope of \$f, \$d, \$e:

Complete specification is in Metamath book, pp. 92–95

(1)	$(\mathscr{A} \to ((\mathscr{A} \to \mathscr{A}) \to \mathscr{A})) \to ((\mathscr{A} \to (\mathscr{A} \to \mathscr{A})) \to (\mathscr{A} \to \mathscr{A}))$	) ( <i>L</i> 2)
(2)	$(\mathscr{A} \to ((\mathscr{A} \to \mathscr{A}) \to \mathscr{A}))$	( <i>L</i> 1)
(3)	$((\mathscr{A} \to (\mathscr{A} \to \mathscr{A})) \to (\mathscr{A} \to \mathscr{A}))$	(1), (2) <i>MP</i>
(4)	$(\mathscr{A} \to (\mathscr{A} \to \mathscr{A}))$	( <i>L</i> 1)
(5)	$(\mathscr{A} \rightarrow \mathscr{A})$	(3), (4) <i>MP</i> .

Textbook example: Hamilton, Logic for Mathematicians (1988), p. 32



#### Metamath's web page display of id1 proof

#### Example - Intuitionistic implicational calculus (1 of 2)

```
$c |- wff () -> $.
$v ph ps ch $.
wph $f wff ph $.
wps $f wff ps $.
wch $f wff ch $.
```

Declare 5 constants Declare 3 variables ( $\varphi$ ,  $\psi$ ,  $\chi$ ) Establish variable type for  $\varphi$ Establish variable type for  $\psi$ Establish variable type for  $\chi$ wi \$a wff ( ph -> ps ) \$. Syntax builder for implication

```
Two axiom schemes and rule of modus ponens:
ax-1 $a |- ( ph -> ( ps -> ph ) ) $.
ax-2 $a |- ( ( ph -> ( ps -> ch ) )
                     -> ( ( ph -> ps ) -> ( ph -> ch ) ) ) $.
${
  maj $e |- ( ph -> ps ) $.
  min $e |- ph $.
  ax-mp $a |- ps $.
$}
```

#### Example - Intuitionistic implicational calculus (2 of 2)

#### Theorem scheme: Identity law

id1 \$p |- ( ph -> ph ) \$=

wph wph wi wi wi wph wph wi wph wph wi wph wi wi wi wph wph wi wi wph wph wi wi wph wph wph wph wph wph ax-2 wph wph wph wi ax-1 ax-mp wph wph ax-1 ax-mp \$.

Logic step actions and resulting proof steps:

## "Hidden" hypotheses for substitution assignments to variables in \$a and \$p statements

```
ax-1 showing all hypotheses (pops 2 from stack, pushes 1):
wph $f wff ph $.
wps $f wff ps $.
ax-1 $a |- ( ph -> ( ps -> ph ) ) $.
```

```
ax-mp showing all hypotheses (pops 4 from stack, pushes 1):
wph $f wff ph $.
wps $f wff ps $.
min $e |- ph $.
maj $e |- ( ph -> ps ) $.
ax-mp $a |- ps $.
```

#### Syntax-building steps for substitution assignments

#### Theorem scheme: Identity law

id1 \$p |- ( ph -> ph ) \$=

wph wph wi wi wi wph wph wi wph wph wi wph wi wi wi wph wph wi wi wi wph wph wi wi wph wph wi wph ax-2 wph wph wi ax-1 ax-mp wph wph ax-1 ax-mp \$.

#### MM> show proof id1 /all /lemmon

• • •	
31 wph	\$f wff ph
32 wph	\$f wff ph
33 wph	\$f wff ph
34 32,33 wi	\$a wff ( ph -> ph )
35 31,34 ax-1	\$a  - ( ph -> ( ( ph -> ph ) -> ph ) )
• • •	

### Why explicit syntax-building steps?

#### Theorem scheme: Identity law

id1 \$p |- ( ph -> ph ) \$=

wph wph wi wi wi wph wph wi wph wph wi wph wi wi wph wph wi wi wph wph wi wi wph wph wi wph wph wph wph ax-2 wph wph wph wi ax-1 ax-mp wph wph ax-1 ax-mp \$.

Only the logic steps "ax-2 ax-1 ax-mp ax-1 ax-mp" are needed theoretically (and by some verifiers e.g. Metamath Solitaire)

### Advantages of explicit syntax-building steps:

- Faster verification (no unification needed)
- Simpler verifier (no unification algorithm needed)

### Disadvantage:

Verbose proofs

### **Compressed** proofs

```
Identity law with compressed proof
id1 $p |- ( ph -> ph ) $=
  ( wi ax-2 ax-1 ax-mp ) AAABZBZFAFABBGFBAFACAFDEAADE $.
```

Specification is in Appendix B of Metamath book

#### Advantages:

- 85% proof size reduction on average (7 $\times$  smaller)
- $6 \times$  faster verification (reading compressed format directly)
- set.mm size breakdown: 8.5MB for proofs, 16.3MB total



## **Predicate calculus with equality**

#### **Classical propositional calculus**

We will implicitly assume predicate calculus axioms include:

Axiom <i>Simp</i>	ax-1	$\vdash (\varphi \rightarrow (\psi \rightarrow \varphi))$
Axiom Frege	ax-2	$\vdash ((\varphi \to (\psi \to \chi)) \to ((\varphi \to \psi) \to (\varphi \to \chi)))$
Axiom Transp	ax-3	$\vdash ((\neg \varphi \to \neg \psi) \to (\psi \to \varphi))$
Rule of Modus Ponens	ax-mp	$\vdash \varphi \And \vdash (\varphi \rightarrow \psi) \Rightarrow \vdash \psi$

Axiom schemes for classical propositional calculus (Łukasiewicz's system, called  $P_2$  by Church)

#### Variables vs. metavariables

Elements of **actual** first-order logic (for set theory):

- Fixed set of individual variables:  $v_1$ ,  $v_2$ ,  $v_3$ ,...
- Wffs (well-formed formulas) constructed from variables connected by = and ∈, which are then used to build up larger wffs connected with →, ¬, ∀ (e.g. (v<sub>1</sub> = v<sub>3</sub> → ¬∀v<sub>2</sub> v<sub>2</sub> ∈ v<sub>4</sub>)).
- There are **no** wff variables

Elements of **Metamath** (set.mm database):

- Individual metavariables x, y,... ranging over  $v_1$ ,  $v_2$ ,  $v_3$ ,...
- Wff metavariables  $\varphi$ ,  $\psi$ ,... ranging over wffs such as  $v_2 \in v_4$ and  $(v_1 = v_3 \rightarrow \neg \forall v_2 \ v_2 \in v_4)$
- x = y,  $x \in y$ ,  $\neg \varphi$ ,  $(\varphi o \psi)$ , and orall x arphi are wff schemes
- Actual variables  $v_1, v_2, \ldots$  are **never** mentioned explicitly

#### Simple schemes and simple metalogic

**Simple scheme** - An axiom scheme or theorem scheme containing only:

- 1. Wff metavariables  $\varphi$ ,  $\psi$ ,... with no arguments
- 2. Individual metavariables  $x, y, \ldots$
- 3. Provisos of the form "where x and y are distinct"
- 4. Provisos of the form "where x does not occur in arphi"

**Proof using simple metalogic** - A proof in which each step is a simple scheme—either a direct substitution into an axiom scheme (inheriting any provisos) or an inference rule applied to previous steps.

#### **Proofs:** logic vs. simple metalogic

In a standard first-order logic proof, each step is a *single instance* of an axiom scheme (or rule applied to previous steps) using  $v_1, v_2, \ldots$  There are no provisos associated with any step (or the final theorem). All variables are "distinct" by definition.

In **simple metalogic**, each proof step is itself a *scheme* using  $x, y, \ldots$  and  $\varphi, \psi, \ldots$  and possible distinct-variable provisos

### Predicate calculus (with equality) in Metamath

The Metamath language (simple schemes) does not have "free variable" and "proper substitution" as built-in primitives. Traditional predicate calculus cannot be represented directly.

**Tarski's system S2** (1965) (with predicates = and  $\in$ ) is **equivalent** but has only simple schemes for its axioms.

Axiom of Quantified Implication	$\vdash (\forall x(\varphi \to \psi) \to (\forall x\varphi \to \forall x\psi))$
Rule of Generalization	$\vdash \varphi \implies \vdash \forall x \varphi$
Axiom of Equality (1)	$\vdash (x = y \to (x = z \to y = z))$
Axiom of Existence	$\vdash \neg \forall x \neg x = y$ , where x is distinct from y
Axiom of Equality (2)	$\vdash (x = y \to (x \in z \to y \in z))$
Axiom of Equality (3)	$\vdash (x = y \to (z \in x \to z \in y))$
Axiom of Quantifier Introduction	dash (arphi  o orall x arphi), where $x$ does not occur in $arphi$

#### Tarski's system S2

Example of proof as intended by Tarski's system S2:

$$\begin{array}{ll} 1 & \vdash (v_{2} \in v_{1} \to (v_{2} = v_{1} \to v_{2} \in v_{1})) & \text{ax-1} \\ 2 & \vdash \forall v_{1}(v_{2} \in v_{1} \to (v_{2} = v_{1} \to v_{2} \in v_{1})) & 1, \text{ax-gen} \\ 3 & \vdash (\forall v_{1}(v_{2} \in v_{1} \to (v_{2} = v_{1} \to v_{2} \in v_{1})) & \\ & \to (\forall v_{1}v_{2} \in v_{1} \to \forall v_{1}(v_{2} = v_{1} \to v_{2} \in v_{1}))) & \text{ax-5} \\ 4 & \vdash (\forall v_{1}v_{2} \in v_{1} \to \forall v_{1}(v_{2} = v_{1} \to v_{2} \in v_{1})) & 2, 3, \text{ax-mp} \end{array}$$

#### **Proof using simple metalogic (Metamath):**

$$1 \hspace{0.1in} arepsilon (arphi 
ightarrow (\psi 
ightarrow arphi)) \hspace{1.5in} ext{ax-1}$$

2 
$$\vdash \forall x (\varphi \rightarrow (\psi \rightarrow \varphi))$$
 1, ax-gen

3 
$$\vdash (\forall x (\varphi \rightarrow (\psi \rightarrow \varphi)) \rightarrow (\forall x \varphi \rightarrow \forall x (\psi \rightarrow \varphi)))$$
 ax-5

4 
$$\vdash (\forall x \varphi \rightarrow \forall x (\psi \rightarrow \varphi))$$
 2,3,ax-mp

#### Metalogical completeness

A set of axiom schemes is **metalogically complete** when all valid simple schemes are provable with simple metalogic.

**Example:** System  $P_2$  of classical propositional calculus is metalogically complete.

**Problem:** Tarski's system S2, while *logically* complete, is not *metalogically* complete.

**Example:**  $\vdash (x = y \rightarrow (\forall y \varphi \rightarrow \forall x(x = y \rightarrow \varphi)))$  (ax-11 in set.mm) can only be proved in S2 by induction on formula length of  $\varphi$ 

**Solution:** Extend Tarski's S2 with additional (though logically redundant) simple schemes.

ax-5	$\vdash (\forall x(\varphi \to \psi) \to (\forall x\varphi \to \forall x\psi))$	$\vdash (\forall x(\varphi \to \psi) \to (\forall x\varphi \to \forall x\psi))$
ax-6	$\vdash (\neg \forall x \varphi \to \forall x \neg \forall x \varphi)$	
ax-7	$\vdash (\forall x \forall y \varphi \to \forall y \forall x \varphi)$	
ax-gen	$\vdash \varphi \implies \vdash \forall x \varphi$	$\vdash \varphi \implies \vdash \forall x \varphi$
ax-8	$\vdash (x = y \rightarrow (x = z \rightarrow y = z))$	$\vdash (x = y \rightarrow (x = z \rightarrow y = z))$
ax-9	$\vdash \neg \forall x \neg x = y$	$\vdash \neg \forall x \neg x = y$ , where x is distinct from y
ax-10	$\vdash (\forall x \ x = y \to \forall y \ y = x)$	
ax-11	$\vdash (x = y \to (\forall y \varphi \to \forall x (x = y \to \varphi)))$	
ax-12	$\vdash (\neg \forall z \ z = x \to (\neg \forall z \ z = y \to (x = y \to \forall z \ x = y)))$	
ax-13	$\vdash (x = y \to (x \in z \to y \in z))$	$\vdash (x = y \rightarrow (x \in z \rightarrow y \in z))$
ax-14	$\vdash (x = y \to (z \in x \to z \in y))$	$\vdash (x = y \rightarrow (z \in x \rightarrow z \in y))$
ax-17	$\vdash (\varphi \to \forall x \varphi)$ , where $x$ does not occur in $\varphi$	Dash (arphi  o orall x arphi), where $x$ does not occur in $arphi$

### Metamath's schemes vs. Tarski's system S2

#### Metalogical completeness

**Theorem.** The extended set of axiom schemes ax-1 through ax-17 is **metalogically complete** (Theorem 9.7 in Megill 1995).

**Open problem:** The (metalogical) **independence** of of these schemes has not been proven, except for ax-9 and ax-11.

- Independence of ax-9 proved by Raph Levien (2005)
- Independence of ax-11 proved by Juha Arpiainen (2006)

#### Distinct variable provisos

```
The axiom scheme "(\varphi \rightarrow \forall x \varphi), where x does not occur in \varphi"
is expressed in the Metamath language as
${
    $d x ph $.
    ax-17 $a |- ( ph -> A. x ph ) $.
}
```

#### Rule: Substitutions inherit distinct variable provisos.

**Example:** Substitute y = z for  $\varphi$ . Then

 $(\varphi \rightarrow \forall x \varphi)$ , where x does not occur in  $\varphi$ 

becomes

 $(y = z \rightarrow \forall x \ y = z)$ , where x is distinct from y and z.

#### Traditional logic notions using Metamath

**Traditional logic:** "where x is not free in  $\varphi$ " **Metamath:** use logical (\$e) hypothesis  $\vdash (\varphi \rightarrow \forall x \varphi)$ 

**Traditional logic:** "The proper substitution of y for x in  $\varphi$ " **Metamath:**  $[y/x]\varphi$ , defined  $((x = y \rightarrow \neg \varphi) \rightarrow \forall x (x = y \rightarrow \varphi)))$ 

**Traditional logic:** " $\varphi(y)$  where y is free for x in  $\varphi(x)$ " **Metamath:**  $[y/x]\varphi$ 

### **Definitions in Metamath**

- Definitions are introduced as axioms (\$a) and are indistinguishable from axioms to the verifier
- Soundness (eliminability and non-creativity) depends highly on the underlying logic and cannot be automatically checked generally
- In set.mm we require new definitions to be automatically checkable. All but 3 definitions in set.mm are automatically verifiable with a simple algorithm.

#### **Definitions for predicate calculus in set.mm**

Definitions extend wff syntax, and the definiendum (l.h.s.) and definiens (r.h.s.) are connected with the biconditional  $\leftrightarrow$ .

#### **Examples:**

df-an  $\vdash ((\varphi \land \psi) \leftrightarrow \neg(\varphi \rightarrow \neg \psi))$ 

df-ex  $\vdash (\exists x \varphi \leftrightarrow \neg \forall x \neg \varphi)$ 

 $\begin{array}{ll} \text{df-eu} & \vdash (\exists ! x \varphi \ \leftrightarrow \ \exists y \forall x (\varphi \leftrightarrow x = y)) \\ & \text{where } x \text{ and } y \text{ are distinct and } y \text{ does not occur in } \varphi \\ & \text{Any } new \text{ variable on r.h.s. must be distinct from all others.} \end{array}$ 

## **ZFC set theory**

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## Axiom schemes for ZFC set theory in set.mm

All individual metavariables $x$ , $y$ , $z$ , below are assumed to be mutually distinct			
Axiom of Extensionality	$\underbrace{ty}_{ax-ext} \models (\forall z (z \in x \leftrightarrow z \in y) \to x = y)$		
Axiom of Replacement	ax-rep	$\vdash (\forall w \exists y \forall z (\forall y \varphi \to z = y) \to \exists y \forall z (z \in y \leftrightarrow \exists w (w \in x \land \forall y \varphi)))$	
Axiom of Power Sets	ax-pow	$\vdash \exists y \forall z (\forall w (w \in z \to w \in x) \to z \in y)$	
Axiom of Union	ax-un	$\vdash \exists y \forall z (\exists w (z \in w \land w \in x) \to z \in y)$	
Axiom of Regularity	ax-reg	$\vdash (\exists y \ y \in x \to \exists y (y \in x \land \forall z (z \in y \to \neg z \in x)))$	
Axiom of Infinity	ax-inf	$\vdash \exists y (x \in y \land \forall z (z \in y \to \exists w (z \in w \land w \in y)))$	
Axiom of Choice	ax-ac	$ \exists y \forall z \forall w ((z \in w \land w \in x)) \\ \rightarrow \exists v \forall u (\exists t ((u \in w \land w \in t) \land (u \in t \land t \in y)) \leftrightarrow u = v)) $	

### Axioms vs. axiom schemes again

In Metamath, every axiom, theorem, and proof step is a simple scheme

In standard ZFC set theory, the Axiom of Extensionality is a specific axiom in the language of first-order logic:

$$(\forall v_3(v_3 \in v_1 \leftrightarrow v_3 \in v_2) \to v_1 = v_2)$$

In Metamath (set.mm), this is stated as an axiom scheme:

 $(\forall z \ (z \in x \leftrightarrow z \in y) \rightarrow x = y)$ , where x, y, z are distinct

Under first-order logic, every instance of this scheme is logically equivalent to the specific axiom

## **Axiom Scheme of Replacement**

In set.mm, Replacement is *automatically* a scheme:

 $\begin{array}{l} (\forall w \exists y \forall z (\forall y \varphi \rightarrow z = y) \rightarrow \exists y \forall z (z \in y \leftrightarrow \exists w \ (w \in x \land \forall y \varphi))), \\ & \text{where } x, \ y, \ z, \ w \text{ are distinct} \end{array}$ 

By using  $\forall y \varphi$  instead of  $\varphi$ , we "protect" it against the case where  $\varphi$  might be substituted with an expression containing y.

Alternately, we could use just  $\varphi$  and add the proviso "where y does not occur in  $\varphi$ ." A matter of taste.

We can also eliminate **all** provisos:

$$\exists x (\exists y \forall z (\varphi \to z = y) \to \forall z (\forall y z \in x \leftrightarrow \exists x (\forall z x \in y \land \forall y \varphi)))$$
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## **Class builders**

A class builder is an expression of the form  $\{x \mid \varphi\}$ . Let A, B,... be metavariables ranging over class builders. We extend wffs with the following "definitions:"

$$egin{aligned} y \in \{x \mid arphi\} \ \leftrightarrow \ [y \, / \, x] arphi \ A &= B \ \leftrightarrow \ orall x \ (x \in A \ \leftrightarrow \ x \in B) \ A \in B \ \leftrightarrow \ \exists x \ (x = A \land x \in B) \end{aligned}$$

where x does not occur in A or B. Soundness (eliminability, non-creativity) must be proved outside of Metamath, and Metamath treats them (like all definitions) as **axioms**.

We can prove  $x = \{y \mid y \in x\}$  when x and y are distinct, so an individual variable x is a special case of a class expression.

### **Defining new classes**

In definitions extending class syntax, the definiendum (l.h.s.) and definiens (r.h.s.) are connected with equality =.

**Examples:** Universal class, union of a class, maps-to notation

$$df-v \vdash V = \{x | x = x\}$$

$$\begin{array}{ll} \mathsf{df}\mathsf{-uni} & \vdash \bigcup A \;\; = \; \{x | \exists y (x \in y \land y \in A)\} \\ & \text{where } x \text{ and } y \text{ are distinct and do not occur in } A \end{array}$$

df-mpt  $\vdash (x \in A \mapsto B) = \{ \langle x, y \rangle | (x \in A \land y = B) \}$ where x and y are distinct, and y does not occur in A or B

## Emulating deductions in a Hilbert-style system (1 of 2)

- Metamath is intended for **Hilbert-style deductive systems** (axiom schemes plus inference rules)
- Metamath does not have the Deduction Theorem
   built in ("Δ ∪ {P} ⊢ Q implies Δ ⊢ P → Q").
- Alternative: Natural deduction emulation

## Emulating deductions in a Hilbert-style system (2 of 2)

#### Theorem pockthg 13697

**Description:** The generalized Pocklington's theorem. If  $N - 1 = A \cdot B$  where B < A, then N is prime if and only if for every prime factor p of A, there is an x such that  $x \uparrow (N-1) = 1 \pmod{N}$  and  $gcd(x \uparrow ((N-1)/p) - 1, N) = 1$ . (Contributed by Mario Carneiro, 3-Mar-2014.)

Ref	Expression	
pockthg. 1	$\vdash (\varphi \to A \in \mathbb{N})$	
pockthg.2	$\vdash (\varphi \to B \in \mathbb{N})$	
pockthg.3	$\vdash (\varphi \rightarrow B < A)$	
pockthg.4	$\vdash (\varphi \to N = ((A \cdot B) + 1))$	
pockthg.5	$ \vdash (\varphi \to \forall p \in \mathbb{P} (p    A \to \exists x \in \mathbb{Z} (((x \uparrow (N-1)) \mod N) = 1 \land (((x \uparrow ((N-1) / p)) - 1) \gcd N) = 1))) $	
Assertion		

#### Hypotheses

Assertion

Ref	Expression
pockthg	$\vdash (\varphi \to N \in \mathbb{P})$



## The End

## Thank you!

# Supplementary slides

## Recursive definitions (1 of 2)

Recursive definitions are hard to eliminate. Instead, we can define a "recursive definition generator" (df-rdg):

 $\vdash \operatorname{rec}(F, A) = \bigcup \{ f \mid \exists x \in \operatorname{On}(f \operatorname{Fn} x) \\ \land \forall y \in x f'y = (g \mapsto \operatorname{if}(g = \emptyset, A, \\ \operatorname{if}(\operatorname{Lim} \operatorname{dom} g, \bigcup \operatorname{ran} g, \\ F'(g' \bigcup \operatorname{dom} g))))'(f \upharpoonright y)) \},$ where x, y, f, g don't occur in F or A

F is the characteristic function, A is the initial value, and rec(F, A) is a function on the (proper) class of all ordinals.

## **Recursive definitions (2 of 2)**

Ordinal addition is defined with a direct definition (df-oadd):

$$\vdash +_o = (x \in \mathsf{On}, y \in \mathsf{On} \mapsto (\operatorname{rec}((z \in \mathsf{V} \mapsto \operatorname{suc} z), x)'y))$$
  
where x, y, z are distinct

Recursive definition emerges as theorems (oa0, oasuc, oalim):

 $\vdash (A \in \text{On} \to (A +_o \emptyset) = A)$   $\vdash ((A \in \text{On} \land B \in \text{On}) \to (A +_o \text{suc } B) = \text{suc } (A +_o B))$   $\vdash ((A \in \text{On} \land B \in \text{On} \land \text{Lim } B) \to (A +_o B) = \bigcup x \in B(A +_o x)),$ where x doesn't occur in A or B

## Emulating Hilbert's epsilon in ZFC (1 of 2)

The class expression " $\varepsilon x \varphi$ " denotes "some x satisfying wff  $\varphi$ ." The **Transfinite Axiom** is a conservative extension of ZFC:

arphi 
ightarrow [arepsilon x arphi / x] arphi

where x is free in  $\varphi$  and  $[\ldots/x]\varphi$  denotes proper substitution.

To emulate the transfinite axiom in ZFC, we define two class expressions A and B, where y is does not occur in  $\varphi$ :

$$A = \{ x | (\varphi \land \forall y ([y/x] \varphi \to (rank'x) \subseteq (rank'y))) \}$$
  
$$B = \bigcup \{ x \in A | \forall y \in A \neg y r x \}$$

Theorem (hta in set.mm):

$$r \operatorname{We} A \to (\varphi \to [B/x]\varphi)$$

Class *B* emulates Hilbert's epsilon  $\varepsilon x \varphi$ .

## Emulating Hilbert's epsilon in ZFC (2 of 2)

Epsilon-calculus proof :  $\varphi \rightarrow [\varepsilon x \varphi / x] \varphi$ : (manipulate  $\varepsilon x \varphi$ ) : ( $\varepsilon x \varphi$ -free result)  $\begin{aligned} \mathsf{ZFC proof} & \vdots \\ r \ \mathsf{We} \ A \to (\varphi \to [B(r)/x]\varphi) \\ \vdots \\ (\text{manipulate } B(r)) \\ \vdots \\ r \ \mathsf{We} \ A \to (B(r)\text{-free result}) \\ \exists r \ r \ \mathsf{We} \ A \to (B(r)\text{-free result}) \\ \exists r \ r \ \mathsf{We} \ A \to (B(r)\text{-free result}) \\ \vdots \end{aligned}$ 

More details:

http://us.metamath.org/downloads/megillaward2005he.pdf